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To: Forum Members: Richard Blofeld, Nick Breach, Fiona Bridger-Wilkinson, Jeanette Clifford, Kathryn Cockar, Paul Dick, Peter Fry, Reverend Mary Harwood, Jane Headland, Barbara Hunter, Brian Jenkins, Alan Macro, John Micklewhite (Vice-Chairman), Irene Neill, Chris Prickett, David Ramsden, Clive Rothwell, Pam Slingsby, Graham Spellman, Maria Tillett, John Tyzack (Chairman), Glyn Whiteford, Stacey Williams and Charlotte Wilson

Councillors: Councillor Alan Macro and Councillor Irene Neill

Officers: Carolyn Loosen, Ian Pearson and Claire White

SCHOOLS FORUM AGENDA

Monday, 21st January, 2013

5.00 pm at Shaw House Church Road Newbury RG14 2DR

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Items for Information

Any Other Business

Agenda Item 2

Minutes of a Meeting of the Schools Forum

Monday 10th December 2012

Shaw House

Present:	Fiona Bridger-Wilkinson	Headteacher	Victoria Park Nursery School
	Jeanette Clifford	Governor	St Bartholomews School
	Kathryn Cockar	Headteacher	Kennet Valley Primary School
	Paul Dick	Headmaster	Kennet School
	Peter Fry	Headteacher	The Willink School
	Revd Mary Harwood	Church of England Representative	Oxford Diocese
	Jane Headland	Headteacher	Brookfields Special School
	Barbara Hunter	Headteacher	Francis Baily School
	Brian Jenkins	Early Years PVI Representative	Jubilee Day Nursery
	John Micklewhite (Vice Chair)	Headteacher	Birch Copse Primary School
	Chris Prickett	Headteacher	Streatley Primary School
	Clive Rothwell	Governor	John O'Gaunt School
	Graham Spellman	Roman Catholic Representative	Portsmouth Diocese
	Maria Tillett	School Business Manager	Theale Green School
	John Tyzack (Chair)	Chair of Governors	Whitelands Park Primary School
	Stacey Williams	Headteacher	Reintegration Service
	Charlotte Wilson	Headteacher	Trinity School
	Irene Neill	Portfolio Holder for C&YP	
	Ian Pearson	Head of Education	
	Carolynn Loosen (Minutes)	Schools' Funding Officer	
	Claire White	Schools' Finance Manager	

Action

1. APOLOGIES RECEIVED

Richard Blofeld	Headteacher	Robert Sandilands Primary School
Nick Breach	Governor	Shaw cum Donnington Primary School
Margaret Goldie	Director	Communities
David Ramsden	Headteacher	Little Heath School
Pam Slingsby	School Business Manager	Basildon Primary School
Jo Stewart	Parent Representative	Kennet Valley Primary School
Glyn Whiteford	Headteacher	Denefield School

ABSENT

Alan Macro	Shadow Portfolio Holder C&YP
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2. MINUTES OF PREVIOUS MEETINGS DATED 15TH OCTOBER 2012

The minutes of the meeting on 15th October were approved.

3. ACTIONS ARISING FROM PREVIOUS MEETINGS

Reports on the Mental Health Services and the Behavioural Services Action Plan

C Burnham /

were considered by the Heads Funding Group but it was decided that additional financial data was also needed.

I Pearson

Once the reports on the Mental Health Services and the Behavioural Services Action Plan have been presented to the HFG they are to be circulated to the Schools Forum members for information.

C Loosen

Adrian Slaughter is awaiting the results of Carbon Reduction Commitment consultation.

A Slaughter

4. DECLARATIONS OF INTEREST

No declarations of interest.

5. SCHOOL FUNDING REFORM- CRITERIA FOR GROWTH FUND / CONTINGENCY FUNDING.

Pupil Number Growth

There were further discussions on determining a criterion for both maintained schools and academies to access the contingency held for additional funding for a growth in pupil numbers at the start of the Autumn Term.

The threshold options were:

30 pupils across school or a % across the school

30 pupils across a year group or a % across a year group

It is difficult in secondary schools to ascertain class size and so it was suggested that a percentage be used, however for a small school a small pupil number increase would cause a large percentage increase.

ACTION: Claire White and Paul Dick to liaise on creating feasible models for both primary schools and secondary schools and decide on a proposal to bring back to the next Schools' Forum.

**C White /
P Dick**

Infant Class Size Funding

There is no infant class size funding factor in the formula funding allocation. However infant class size funding is permitted for in-year pupil growth for increases in Reception and KS1 of less than 30 pupils where an additional class is necessary and the school has not planned for that additional class in its budget. Schools need to take into account that the funding is only for two terms and the following year the funding reverts to pupil numbers only. At least 11 additional pupils are required to fund an M3 pay scale teacher.

DECISION: Criteria for Infant Class Size Funding agreed by the Schools Forum

Schools in Financial Difficulty (Maintained Primary Schools Only)

The Schools Forum agreed the criteria subject to the HFG sharpening some of the wording. The areas to be concentrated on are a definition of “poor Ofsted” and making it clear that a school in deficit may be considered to additional funding if they satisfy the criteria and that the deficit is not due to poor financial management.

If schools do not meet the criteria a longer deficit recovery plan may be required. If the £114k put aside for 2013/14 is not sufficient any overspend will go against the following year’s DSG.

The HFG will make the decision on applications for SiFD Funding.

6. RATES FUNDING 2013/14

Claire White presented a report on two possible funding arrangements for school non domestic rates from 2013/14 following the changes implemented in the school funding reforms.

Option 1

Fund the schools on the previous year actual; in year 1 this will also involve adjusting for the in year adjustments. The schools can still budget for the actual rates which they will know before they have to set their budgets. Any significant difference between the funding and the actual e.g. for revaluations can be a provision in the schools’ year end accounts for receiving the income the following year.

Option 2

Fund the schools based on an estimate of their rates for the forthcoming year. Any over estimation or underestimation would be an adjustment to the following year’s funding.

DECISION: The Schools Forum agreed that the procedure set out in option 1 is to be used to fund schools’ non domestic rates from 2013/14.

7. REVIEW OF DSG FUNDING FOR 2013/14 AND DRAFT BUDGET

Claire White presented a report on the changes to DSG funding in 2013/14. In particular the change from one funding block and one Guaranteed Unit of Funding per pupil into 3 funding blocks (Schools Block, Early Years Block and High Needs Block). The Schools Block and Early Years Block have different GUFs and GUFs do not apply to the High Needs Block. The Early Years GUF will not be known until after the start of the financial year and actual uptake will not be known until the end of the financial year.

The DfE will base the High Needs Block on the actual planned spend 2012/13 with several adjustments including that the LA is only responsible for the top up payments of the pupils that reside in West Berkshire.

The blocks are not ring-fenced so funding can be moved between the blocks and

it may be that if there is not sufficient funding in the High Needs Block that funding may have to be moved from the Schools Block reducing the per pupil funding that the schools receive. The DfE is due to confirm the LA funding allocation in late December and the schools budget is due to be submitted to the EFA by 18th January 2013.

8. UPDATE ON SCHOOLS IN FINANCIAL DIFFICULTY

Clare Warren presented a report on the 3 schools (Fir Tree, John O'Gaunt and Stockcross) that had budgeted for a deficit in 2012/13 and progress made in supporting them.

Fir Tree had budgeted to end 2012/13 with a £72k deficit but as of month 7 it was looking more like £56k.

Stockcross is now forecasting a breakeven position as their budget included a redundancy which is no longer required.

John O'Gaunt has a new Headteacher and School Business Manager and together with the support of the Schools Finance Advisor, who visits approximately once a week, they are reviewing the staffing structure and financial plan.

Like John O'Gaunt The Willows is currently receiving additional financial support and the school is forecasting a small underspend when the additional funding is included.

When the indicative budgets are available from mid December those schools negatively affected and likely to go into deficit will be offered support.

9. DSG MONITORING 2012/13, MONTH 7

Claire White and Ian Pearson presented the DSG monitoring report as at 31st October 2012. The DSG 2012/13 forecast at the end of October is a £317k underspend, which is mostly due to lower SEN out of authority placements.

ANY OTHER BUSINESS.

The Chair has received formal notification from Jo Stewart that due to work commitments she is tendering her resignation from the Schools Forum with immediate effect.

ACTION: Primary Governor vacancy to be advertised.

C Loosen

Meeting closed 6:30 p.m.

Date of next meeting: Monday 21st January 2013

Time: 5pm

Venue: Shaw House

West Berkshire Schools' Forum	
Title of Report:	Final Proposal for School Formula & School Budget 2013/14
Date of Meeting:	21st January 2013
Contact Officer(s)	Claire White
For Decision	

1. Background

- 1.1 Under the School Funding Reforms the Local Authority were required to develop a new school formula for distributing funding to primary and secondary schools in 2013/14 using new national guidelines. The formula was agreed by Schools' Forum on 1st October 2012, approved by the Council's Executive on 18th October 2012 without any change, and submitted to the Education Funding Agency (EFA) by the deadline of 31st October.
- 1.2 The EFA accepted the submission as being compliant, and no changes are required to the formula. However, now that the data from the October 2012 census is available, the final funding rates need to be set, and submitted to the EFA by 22nd January 2013.

2. Final Proposed Funding Rates for Schools Formula

- 2.1 Appendix A provides a breakdown of the funding rates that have been used for the consultation on the formula in the summer 2012, the submission to the EFA in October 2012, and the indicative budget allocations sent to schools in December 2012.
- 2.2 The funding rates used in the EFA submission included adjustments for the new services being delegated via the agreed method and adjustments to pupil numbers in relation to resource units where the pupil numbers are to be excluded, and the uplift for where reception pupil numbers are greater in January compared to the previous October.
- 2.3 The indicative budget allocations are based on the actual October census data. The funding rates for SEN, deprivation, and EAL have been adjusted to keep each of the total funding pots roughly the same, with the unit of funding based on the total number of relevant pupils in each of these categories from the October census data. Non Domestic Rates are as per the 2012/13 actual payments as agreed at the last meeting of the Schools' Forum. Pupil funding rates and the lump sum have been kept the same, which means that schools receive the full funding for any increases in pupil numbers.

2.4 As the funding required for the Minimum Funding Guarantee has increased, the cap for gains has had to be reduced to 2.4% per pupil in order to fund the MFG.

2.5 Appendix B details the funding changes for each school based on the indicative rates.

2.6 The cost using the indicative rates is £94.089m. The Schools Block DSG is confirmed at £94.747m plus £33k adjustment for NQT funding. The centrally retained budget requirement is £671k, leaving £94.109 available to delegate to schools, which is £20k greater than the indicative budget.

3. Recommendations

3.1 That the centrally retained schools budget of £671k is agreed. This includes a new centrally retained budget for CLA and MPA licences for all state schools, as advised by the DfE on 14th January – no funding has been deducted from school budgets for this.

3.2 That as the funding available is just £20k greater than the indicative budget rates sent to schools, the indicative rates are set as final.

3.3 That the £20k of funding “left over” be utilised in the high needs block.

Appendices

Appendix A – School Formula Funding Rates 2013/14

Appendix B – School Funding Allocation Summary School by School 2013/14

APPENDIX A

School Formula Allocation Funding Rates						
	CONSULTATION		EFA SUBMISSION		INDICATIVE	
	RATE £	TOTAL £	RATE £	TOTAL £	RATE £	TOTAL £
PUPIL FUNDING						
Primary (2805 + 39)	2,804	33,541,448	2,844	34,159,284	2,844	34,941,384
Secondary (4270 + 62)	4,271	41,151,085	4,332	41,426,916	4,332	40,993,716
SEN						
Primary Prior Attainment	354	766,178	354	769,335	382	768,631
Primary FSM	745	767,350	745	767,171	700	766,199
Primary Pupil No's	64	765,568	64	768,704	63	774,018
Secondary Prior Attainment	2,356	2,318,843	2,356	2,293,406	2,570	2,320,465
DEPRIVATION						
Primary FSM	487	501,610	487	501,493	460	503,502
Primary IDACI	100		100		150	
			304		300	
			400		400	
			500		600	
		501,601	600	499,452	600	502,683
Secondary FSM	927	674,856	927	667,366	800	669,384
Secondary IDACI	200		200		200	
			343		400	
			600		600	
			800		800	
	1,000	675,019	1,000	669,338	1,000	670,597
EAL						
Primary			509	185,016	420	191,709
Secondary			509	35,280	420	27,890
MFG/CAP						
			cap 3%		cap 2.4%	
Primary	217,310		142,693		220,648	
Secondary	173,657	390,967	276,759	419,452	171,851	392,499
RATES						
Primary		592,505		592,505		610,011
Secondary		501,821		501,821		400,145
LUMP SUM						
Primary	125,000		125,733		125,733	
Secondary		8,250,000		8,298,378		8,298,378
		1,250,000		1,257,330		1,257,330
TOTAL ALLOCATION						
		92,648,851		93,812,247		94,088,541
PRIMARY	11,962	45,903,570	12,011	46,684,031	12,286	47,577,163
SECONDARY	9,635	46,745,281	9,563	47,128,216	9,463	46,511,378
Secondary:Primary Ratio		1.26		1.27		1.27
AVAILABLE FUNDING:						
GUF					4,359	
Pupil Numbers					21,736	
Total DSG		92,648,680		92,648,680		94,747,224
Add new delegations				1,340,364		
Adjust RU / pupil no's RU/Reception				-169,412		
Add funding for NQT's						33,000
Less Centrally Retained						-671,000
Total DSG Available		92,648,680		93,819,632		94,109,224
Balance		-171		7,385		20,683

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West Berkshire Schools' Forum	
Title of Report:	School Funding Reform – Criteria for Growth Fund / Contingency Funding
Date of Meeting:	21st January 2012
Contact Officer(s)	Claire White
For Decision	

1. Background

1.1 Under School funding reform, from April 2013 local authorities can create a growth fund from the DSG in advance of allocating school budget shares. The purpose of the growth fund is to support maintained schools and Academies facing significant pupil number growth. It can also include funding schools where very limited pupil number growth requires an additional class as required by infant class size regulations.

1.2 The growth fund will need to be ring-fenced so that it is only used for the purpose of supporting growth in pre 16 pupil numbers to meet basic need. Any funds remaining at the end of the financial year must be added to the following year's DSG and reallocated to maintained schools and Academies through the local formula.

1.3 Local authorities are required to provide on a transparent and consistent basis the criteria on which any growth funding is to be allocated. The criteria should both set out the circumstances in which a payment could be made and provide a basis for calculating the sum to be paid.

1.4 The Schools' Forum is required to agree the criteria and the total sum to be top sliced from each phase. The Schools' Forum will receive regular reports on the use of the funding.

1.5 Maintained primary schools have also opted to de-delegate funding for schools in financial difficulty. The Schools' Forum is required to set criteria for the allocation of this funding.

2. Growth Fund (All Maintained and Academy Schools)

2.1 The recommended criteria for the growth fund is as follows:

If a school experiences significant pupil number growth between the October Census which generates the funding for the following financial year and the following September, the school will be entitled to additional funding for the period September to March for maintained schools or September to August for Academies/Free schools, if the following criteria are met:

1. *Primary - Reception to Year 2 (infant class size) only:*
A school with infant classes which is required to set up an additional class in the Autumn term as required by infant class size regulations, and the school cannot accommodate all its additional reception and Key Stage 1 pupils in classes of 30 or less i.e. the total number of pupils in the 3 year groups exceeds a multiple of 30. (see Appendix A for examples)
2. *Primary (where infant class size not applicable) only:*
There has been growth of 15% in the number of pupils in one year group
3. *Secondary only:*
There has been growth of 20% in the number of pupils in one year group
4. *The school has not exceeded its admission number unless requested to by the LA.*
5. *The school has been required to set up an additional class for that year group and employ an additional teacher.*

The funding will be:

- a. *For Infant Class size only: the equivalent of the cost of a teacher at M3 (£30,539) for each new class (or the equivalent September 2013 rates if higher), pro rata for the remainder of the financial year PLUS an amount of £200 per additional pupil for learning resources.*
- b. *For all other: 50% of the current per pupil funding (AWPU) pro rata for the remainder of the financial year.*

Before setting up an additional class and employing an additional teacher, schools should be aware that this additional in-year payment is temporary one-off funding for the remainder of the financial year in order to meet the pupil's basic need until full per pupil funding is received the following April. Schools will be required to meet the costs of the additional class from their formula pupil funding and lump sum from the following financial year. Schools accessing the infant class size funding where pupil numbers are just 2 or 3 above the limit, should carefully consider the longer term financial implications of employing an additional teacher (11 additional pupils are needed to pay for a M3 teacher, or 9 additional pupils for a M1 teacher).

2.2 The sum to be set aside is £100,000, which will pay for approximately 3 additional classes from September 2013.

2.3 Funding requests are to be submitted to Schools' Finance who will make payment following approval by the Head of Education if he is satisfied that the criteria are met. All approvals will be reported to Schools' Forum.

3. Contingency for Schools' In Financial Difficulty (Maintained Primary Schools Only)

3.1 The funding for schools in financial difficulty is required to be delegated from April 2013. Each school phase in the maintained sector has the option of de-delegating the funding and continuing to have a centrally retained budget. The primary phase only has opted for de-delegation, and the amount totals £114k in the current year.

3.2 In order for primary schools to access this funding, a clear set of criteria needs to be set. The recommended criteria is as follows:

If a school has a deficit budget it may be allocated additional support funding. If a school can meet the following criteria, a bid for additional funding can be made by the school to be considered by the Schools' Forum:

- 1. The school has sought and followed the advice of the Schools' Finance Advisor prior to going into deficit*
 - 2. The school has (up to) a five year robust deficit recovery plan in place which has been discussed with and verified by the Schools' Finance Advisor.*
 - 3. Additional funding may be payable for one of the following exceptional unforeseen circumstances which has taken the school into deficit:*
 - Payment to maintain current staffing levels to help cover a temporary downturn in pupil numbers, and evidence can be provided that the numbers are likely to recover within a 2 - 3 year period making downsizing of staff and resultant redundancy costs unreasonable.*
 - Payment to maintain current staffing levels on a temporary basis in a school causing concern (i.e. Ofsted category of notice to improve or worse), where to reduce the staffing would be detrimental to the recovery of standards in the short term.*
 - Payment to cover staffing costs during a short term interim period whilst restructuring takes place due to an unforeseen sudden permanent downturn in pupil numbers*
 - Redundancy payments, where the redundancies are required in order to balance the budget over a 3 – 5 year period, but these costs will put the school further into deficit if not met (as per the Severance Funding Policy).*
- 3.3 In order to access this funding, a school will need to present in person (with the support of the Schools' finance Advisor) a case to a panel as agreed by Primary Heads, who after consideration of the case, will recommend the amount and duration of the financial support to Schools' Forum for approval or not.*

Appendices

Appendix A – Examples of Infant Class Size Additional In-Year Funding

Examples of Infant Class Size Additional In-Year Funding

Example 1

	October 2012 Census	October 2013 Census
Reception Pupil Numbers	23	31
Year 1 Pupil Numbers	20	25
Year 2 Pupil Numbers	22	20
Total Pupil Numbers	65	76
Number of Classes run by school	3	3

Although pupil numbers have increased by 11, and the reception class exceeds 30, under infant class size regulations the school is still only required to run 3 classes, therefore no additional in-year funding will be payable. Total pupil numbers would need to exceed 90 to trigger the requirement for a 4th class.

Example 2

	October 2012 Census	October 2013 Census
Reception Pupil Numbers	20	21
Year 1 Pupil Numbers	20	20
Year 2 Pupil Numbers	19	20
Total Pupil Numbers	59	61
Number of Classes run by school	3	3

Total pupil numbers have increased by 2 taking the total over 60 and requiring 3 classes. However the school is already running and funding 3 classes within their existing budget, so no additional in-year funding will be payable – their budget requirement for the year has not changed by the admission of these 2 pupils.

Example 3

	October 2012 Census	October 2013 Census
Reception Pupil Numbers	20	21
Year 1 Pupil Numbers	20	20
Year 2 Pupil Numbers	19	20
Total Pupil Numbers	59	61
Number of Classes run by school	2	3

Same pupil numbers as the above example, except the school were operating with 2 classes. The school is therefore eligible for additional in-year funding if they operate a third class. However if their budget with just 2 extra pupils would not sustain the cost of an additional teacher beyond the following April, then they would need to carefully consider the implications of accepting an additional pupil taking them over 60 (unless exceptions to the regulations apply, such as pupils with a statement of SEN naming the school or pupils moving into the area outside the normal admission round).

Example 4

	October 2012 Census	October 2013 Census
Reception Pupil Numbers	20	30
Year 1 Pupil Numbers	20	20
Year 2 Pupil Numbers	19	21
Total Pupil Numbers	59	71
Number of Classes run by school	2	3

The school were running and funding 2 classes before the September admissions took them over 60 pupils. Additional in-year funding would therefore be payable for the additional class, and the additional 12 pupils will generate enough funding to sustain the cost of the additional teacher from April 2014.

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West Berkshire Schools' forum	
Title of Report:	Estimate of DSG Funding 2013/14 and Draft Budget
Date of Meeting:	21st January 2013
Contact Officer(s)	Claire White
For Discussion	

1. School Funding Settlement 2013/14

1.1 The Department for Education (DfE) announced the School Funding Settlement for 2013/14 on 19th December 2012.

1.2 From 2013/14, DSG funding is being split into 3 funding blocks, each calculated in a different way. The blocks are not ring fenced, though provide a guideline on how the funding should be allocated. The breakdown of the funding for the 3 blocks is shown in Appendix A.

1.3 The **Schools' Block** contains funding delegated to mainstream primary and secondary schools (reception to year 11), and the relatively few central services which can continue to be centrally retained. It includes new delegations to schools from 2013/14 but excludes funding for resource units and top up funding for high needs pupils in mainstream schools. The DfE has also made adjustments for hospital schools and the universal provision for 3 year olds. The DfE has taken the 2012/13 budget, made the relevant adjustments, and divided by the relevant number of pupils from the October 2011 census (excluding resource unit pupils but adding reception uplift) to arrive at the new GUF. The actual Schools' Block GUF for 2013/14 is confirmed at £4,359. There were a total of 21,736 relevant pupils in the October 2012 census figures for primary and secondary schools, and the Schools' Block DSG has been confirmed as £94.747m. An additional lump sum of £33k has been added for NQT funding, already delegated.

1.4 The **Early Years Block** contains funding for 3 and 4 year olds funded via the Early Years Single Funding Formula, plus centrally retained expenditure on children under 5. The actual 2013/14 GUF has been confirmed at £3,911. Our initial DSG allocation is £5.777m based on the January 2012 census. This will be updated in the Summer based on the January 2013 census, with the final allocation for 2013/14 being confirmed in the Summer of 2014 based on 5/12 of the January 2013 census, and 7/12 of the January 2014 census. Funding for 2 year olds has also been added to the DSG as a total amount, £1.073m (based on the DfE estimate of number of 2 year olds accessing this funding), although this is not ring fenced for 2 year olds or the early years block. In addition, 50% of the 2012/13 allocation of the 90% funding floor for 3 year olds has been allocated as transitional funding at £364k, which will end this year.

1.5 The **High Needs Block** contains funding for Special Schools, Pupil Referral Units, Resource Units, top up funding for high needs pupils in mainstream schools, funding for high needs pupils in non maintained special schools, plus centrally retained budgets for SEN support services. The funding to be allocated is based on the actual LA budget for 2012/13, and bids have been made to the DfE where there is an increase in demand for places. Adjustments have been made for recoupment, as the LA where the pupil resides will be responsible for top up payments. The estimated DSG for this block is currently £16.553m, though further adjustments for growth and further education are still expected.

2. Estimated DSG Funding and Budget Requirement 2013/14

2.1 The following table provides the current DSG allocation notified for 2013/14, compared to an estimate of the budget requirement. The breakdown of the budget, split between the three blocks is shown in Appendix B.

	GUF	Pupil No's	DSG Funding £'000	Budget £'000	Headroom/ (Shortfall) £'000
Schools Block	£4,359	21,736 (actual Oct 12)	£94,780	£94,760	£20
Early Years Block	£3,911	1,477 (actual Jan 12)	£7,214	£7,308	(£94)
High Needs Block	n/a	n/a	£16,553	£16,355	£198
Total			£118,547	£118,423	£124

2.2 The DfE has also announced the pupil premium grant (PPG) rates for 2013/14. The rate for pupils eligible for free school meals (Ever6) and children looked after has increased from £623 to £900, and the rate for Service Children (Ever3) has increased from £250 to £300. This is approximately £900k of additional funding for West Berkshire pupils. The final PPG funding allocations for 2013/14 will be based on the January 2013 census.

3. Next Steps

3.1 The Schools Block DSG is confirmed and the funding rates for school budgets are required to be approved by the Schools' Forum and submitted to the DfE by 22nd January.

3.2 The Early Years budget is being considered by the Early Years Steering Group and will be set according to current year take up with no change to the overall funding rates. The assumption is that any in year growth will be met by an equivalent increase in DSG.

3.3 The most difficult task is determining the high needs budget for 2013/14, particularly as the final DSG is still not confirmed. The draft top up rate proposals for our own schools are in another report, but the top up rates for children placed in other LA's are not yet known and have currently been assumed at an average of £20k per place.

3.4 Although our proposed top up rates exceed our current budgets, savings in other areas of the high needs budget, particularly in out of county placements, and the fact that the change in the recoupment arrangements have resulted in a slight reduction to our overall costs, means that the proposed high needs budget is realistic. A high contingency will need to be in place particularly in the first year due to the volatility of this budget, with top up funding following the child and the uncertainties with respect to demand.

3.5 The final proposals for the early years and high needs budgets will be brought to the next meeting of the Schools' Forum.

Appendices

Appendix A - Estimated DSG Funding 2013/14

Appendix B – Draft DSG Budget 2013/14

APPENDIX A

Estimated DSG Funding 2013/14 as at 14 JANUARY 2013			
		Final 2012/13	Final 2013/14
		Jan 2012 census	Oct 2012 census
4	SCHOOLS BLOCK		
5	Pupil Numbers		
6	School Census - Mainstream	21,607.0	21,812.0
7	Add: Reception Uplift		55.0
8	Less: Pupils in Resource Units		-131.0
9	Total Pupil numbers	21,607.0	21,736.0
10			
11	DSG Guaranteed Unit of Funding	£4,891.12	£4,359.00
12	DSG based on pupil numbers		£94,747,224
13			
14	Plus: Adjustment for NQT		£33,000
15			
16	Total Schools Block including Academies	105,682,430	94,780,224
17			
18	EARLY YEARS BLOCK (Provisional)	Jan 2012 census	Jan 2012 census
19	Pupil Numbers		
20	School Census - Mainstream	413.0	412.1
21	Early Years Census	1,065.0	1,065.1
22	Adjustment for universal provision	149.0	0.0
23	Total Pupil numbers	1,627.0	1,477.2
24			
25	DSG Guaranteed Unit of Funding	£4,891.12	£3,911.00
26	DSG based on pupil numbers		£5,777,329
27			
28	Adjustment for universal provision Transitional Funding		£364,000
29	Two Year Old Funding		£1,073,090
30			
31	Total Early Years Block	7,957,852	7,214,419
32			
33	HIGH NEEDS BLOCK		
34	Pupil Numbers		
35	Special Schools	250.0	
36	PRU census	46.0	
37	Alternative Curriculum census	61.0	
38	Total Pupil numbers	357.0	
39			
40	DSG Guaranteed Unit of Funding	£4,891.12	
41			
42	High Needs Budget 2012/13		17,110,969
43	less recoupment		-1,621,360
44	less hospital tuition		-25,763
45	less universal provision for 3 year olds		-97,420
46	Baseline before cross border adjustments		15,366,426
47	Add place funding for other LA children		1,033,103
48	Less place funding for our children in other LA's		-194,960
49	Baseline after cross border adjustments		16,204,569
50			
51	Adjustment for Post 16 SEN Block (top ups)		63,000
52	Adjustment for FE Post 16 top ups		
53	Add: Growth in High Needs Places Funding		285,000
54			
55	Total High Needs Block	1,746,130	16,552,569
56			
57	TOTAL DSG FUNDING	115,386,412	118,547,212
58	ADD: Carry Forward from Previous Year	1,576,070	
59	ADD: EFA Funding for 6th form special needs	677,720	
60	TOTAL FUNDING AVAILABLE	117,640,202	118,547,212
61			
62	Expenditure Budgets (including academies, split into funding blocks)		
63	SCHOOLS BLOCK		
64	Primary & Secondary Delegated Budgets 4 - 16	91,837,363	93,520,310
65	Schools contingency	1,453,484	100,000
66	Centrally Retained Schools Budget	2,507,383	1,139,620
67		95,798,230	94,759,930
68	EARLY YEARS BLOCK		
69	Early Years single Funding Formula - Schools	1,731,523	1,926,840
70	Early Years single Funding Formula - PVI	3,981,260	4,116,520
71	Early Years Contingency	500,000	0
72	2 year old Funding	0	1,073,090
73	Centrally Retained early years budgets	110,450	192,500
74		6,323,233	7,308,950
75	HIGH NEEDS BLOCK		
76	Special Schools	6,334,163	6,410,120
77	Resource Units / LALs	1,646,578	2,107,280
78	Maintained Schools (Top Ups)		729,210
79	PRU's		2,014,520
80	Non Maintained/Independent Special Schools		2,727,340
81	High Needs Contingency	200,000	250,000
82	Centrally Retained High Needs Budgets	7,337,993	2,116,090
83		15,518,734	16,354,560
84			
85	Total Expenditure Budgets	117,640,197	118,423,440
86			
87	Headroom or (Shortfall)	5	123,772

APPENDIX B

Draft DSG Budget 2013/14 V8 as at 14 January 2013

Description	Cost Centre	Baseline	Recoupment Adjustments	Other Adjustments	De-Delegations	SF Approvals	Proposed Budget 2013-14	DSG Grant	Balance Under / (Over) spend
Schools Block									
Primary Schools (excluding nursery)	90020	46,906,550			-509,200		46,397,350		
Academy Schools Primary	DSG top slice	670,610					670,610		
Secondary Schools (excluding 6th form funding)	90025	21,885,190			-59,030		21,826,160		
Academy Schools Secondary	DSG top slice	24,626,190					24,626,190		
School Contingency - Pupil Growth/Infant Class Size	90235	100,000					100,000		
Schools in Financial Difficulty (primary schools)	90230				115,680		115,680		
Trade Union Costs Primary	90045				25,010		25,010		
Trade Union Costs Secondary	90050				15,550		15,550		
Support to Ethnic minority & bilingual Learners	90255				191,460		191,460		
Behaviour Support Services	90349				169,220		169,220		
Schools Finance - Supporting Schools in Fin Diff	90711				51,310		51,310		
CLA/MPA Licences	new					47,000	47,000		
Schools Finance - Servicing of Schools Forum	90019	95,320					95,320		
Carbon Reduction Commitment Allowances	90028	105,000					105,000		
School Admissions	90742	324,070					324,070		
Schools Block Total Expenditure		94,712,930	0	0	0	47,000	94,759,930	94,780,224	20,294
Early Years Block									
Early Years Funding - Nursery Schools	90010	730,020				64,660	794,680		
Early Years Funding - Maintained Schools	90037	1,023,650				108,510	1,132,160		
Early Years Funding - PVI Sector	90036	3,981,260		135,260			4,116,520		
Early Years Funding - Contingency	new	500,000		228,740		-728,740	0		
2 year old funding	new	0		1,073,090			1,073,090		
Central Expenditure on Children under 5	90017	88,300				104,200	192,500		
Early Years Block Total		6,323,230	0	1,437,090	0	-451,370	7,308,950	7,214,419	-94,531
High Needs Block									
Special Schools - Place Funding (347)	90540	6,334,160	-1,464,400	-1,549,760		150,000	3,470,000		
Special Schools - Top Up Funding	new			1,549,760		870,360	2,420,120		
Non WBC Special Schools - Top Up Funding	new					520,000	520,000		
Resource Units - Place Funding (70)	new	1,263,980	-150,000	-681,980		268,000	700,000		
Resource Units - Place Funding Academies (70)	DSG top slice			630,000		70,000	700,000		
Resource Units - Top Up Funding Maintained	new			681,980		-301,910	380,070		
Resource Units - Top Up Funding Academies	90026	915,040		-630,000		-147,430	137,610		
Non WBC Resource Units - Top Up Funding	new					55,000	55,000		
Mainstream - Top Up Funding Maintained	new	463,740	-46,860			103,120	520,000		
Mainstream - Top Up Funding Academies	new	134,290				26,710	161,000		
Non WBC Mainstream - Top Up Funding	new					48,210	48,210		
Pupil Referral Units - Place Funding (80)	90320	1,523,730	8,890	-844,620		-48,000	640,000		
Pupil Referral Units - Top Up Funding	new			844,620		529,900	1,374,520		
Non WBC PRU's - Top Up Funding	new						0		
Non Maintained Special School Place Funding	DSG top slice			350,000			350,000		
Non Maintained Special School Top Up	90575	3,784,990		-350,000		-1,057,650	2,377,340		
Independent Special School Place & Top Up	new						0		
Further Education Colleges Top Up	new						0		
Contingency for in year Top Ups & Additional SEN	90237	250,000		1,219,140		-1,219,140	250,000		
LAL Funding	new	134,600					134,600		
HN Outreach Special schools/PRU	new	60,650				76,880	137,530		
Social Inclusion	90231	144,100					144,100		
Sen Pre School Children	90238	55,370					55,370		
Special Needs Support Team	90280	435,900					435,900		
Sensory Impairment	90290	239,940					239,940		
Home Tuition	90315	243,030				15,140	258,170		
Equipment For SEN Pupils	90565	40,590					40,590		
SEN Commissioned Provision	90577	473,590					473,590		
ASD Teachers	90830	177,250					177,250		
Early Intervention	90957	80,230					80,230		
SEN Inclusion	90965	73,420					73,420		
High Needs Block Total		16,828,600	-1,652,370	1,219,140	0	-40,810	16,354,560	16,552,569	198,009
TOTAL EXPENDITURE		117,864,760	-1,652,370	2,656,230	0	-445,180	118,423,440	118,547,212	123,772
Funding Changes:									
Funding to replace recoupment				838,143					
2 Year Old Funding				1,073,091					
Universal Provision 3 Year Olds Transitional funding				364,000					
NQT/SEN/Growth				381,000					
Total				2,656,234					

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West Berkshire Schools' Forum	
Title of Report:	Early Years Funding 2013/14
Date of Meeting:	21st January 2013
Contact Officer(s)	Claire White
For Discussion	

1. Three and Four Year Old Funding

- 1.1 There are minor changes required in 2013/14 to the Early Years Single Funding Formula (EYSFF) for 3 and 3 year olds in nursery provision. The current method used to fund providers is compliant with the revised rules, but the way the formula is set out requires change.
- 1.2 The Early Years Block of the DSG grant will not be finalised until summer 2014. It is to be based on 5/12 of the January 2013 census numbers, and 7/12 of the January 2014 census numbers. It is therefore very difficult to predict what the actual funding allocation will be. When comparing funding based on the January 2012 census to expenditure based on actual hours of provision paid for in the last year (January to December 2012) there is a small shortfall in funding. However, due to the unpredictability of the funding, the Steering Group are looking at leaving the funding rates largely unchanged for 2013/14, after the minor changes to the formula have been taken into account.
- 1.3 The final formula and funding rate proposals will be brought to the next meeting of the Schools' Forum.

2. Two Year Old Funding

- 2.1 The funding for two year olds has now been added to the DSG, and the local authority is required to set the funding rate as part of the EYSFF. The guidelines state that providers are to be funded on the basis of a flat hourly rate with no additional supplements.
- 2.2 Two lots of funding have been received. £771k for delivering the free statutory entitlement for the 20% eligible children (from September 2013). £303k is a notional amount and is for creating non-statutory places in preparation for the 40% eligible 2 year olds from September 2014.
- 2.3 The DSG funding for the statutory requirement has been calculated by the DfE based on their estimate of 252 eligible children at £5.36 per hour. The DfE has applied different funding rates to local authorities depending on area cost adjustments – the average is £5.09 per hour.

2.4 The number of pupils eligible is based on the percentage of the total number of four to six year olds eligible for free school meals multiplied by the population estimates for 2 year olds in each local authority.

2.5 The Early Years Steering Group is recommending a flat hourly rate of £5.26 per hour. This is based on a ratio of 4 children to one adult (nursery nurse) using the following calculation:

Annual Salary/Resource cost of £20,000 / 4 children / 0.6 PTE = £3,000 pa
£3,000 / 38 weeks / 15 hours = £5.26 per hour

2.6 This compares favourably with the current rate of £4.85 per hour.

2.7 The DSG funding allocation will also need to cover central costs for determining eligibility of children for this provision.

2.8 The funding is not ring fenced, and it is not expected that there will be take up from as many as 252 children. Any 2 year old funding not used could be set against the funding requirement for three and four year olds. It would, however, be a high risk strategy to increase the funding rate for two year olds above the baseline, as future years DSG funding could be based on actual take up.

West Berkshire Schools' Forum	
Title of Report:	High Needs Pupil Funding 2013/14
Date of Meeting:	21st January 2013
Contact Officer(s)	Claire White
For Discussion	

1. Background

- 1.1 There are significant changes to how high needs pupils are to be funded from 2013/14. This has an implication on both how individual schools are funded for these pupils, and the funding available from the new “High Needs Block” of DSG in setting top up fees.
- 1.2 The funding implications are detailed in another report on this agenda. This report informs the Schools' Forum of the draft funding proposals for each type of high needs provider. The proposals have been developed by groups of LA officers in liaison with the relevant schools.

2. Mainstream Schools

- 2.1 The funding for the first £6,000 of an individual pupil's high level needs is met from the schools delegated funding. Needs above £6,000 are met by the commissioner of the child's place – the local authority where the child lives – through a top of payment.
- 2.2 The top up payment follows the child in real time.
- 2.3 The banding system and amounts for the payment of top ups is detailed in Appendix A. The new system requires an additional £130k of funding from the High Needs budget (which includes the increase in number of statements during 2012/13).
- 2.4 The amounts payable to each school under the new system (delegated SEN funding plus top ups) have been compared to current cost of statements. Where this falls short, it is proposed that an additional payment is made. This is detailed in Appendix B. This will currently require an additional £30k of funding from the high needs budget. Consideration may also be given to allocating additional funding to schools to ensure a minimum SEN budget to enable schools to pay for all low level special needs (costing less than £6k), and this proposal is also included in Appendix B for discussion.

3. Resource Units in Mainstream Schools

- 3.1 Resource units are to be funded separately from the main school budget, and the pupils in resource units are no longer counted for funding purposes, and funding is not received for them in the main school budget.
- 3.2 The DfE agree the number of places in each resource unit, and £10,000 is paid per place.
- 3.3 Each individual pupil's needs are assessed, and where the cost is greater than £10,000 a top up payment is made by the commissioner of the place.
- 3.4 The top up payment follows the child in real time.
- 3.5 The LA has developed a 3 tier banding system for each resource unit, which is detailed in Appendix C. Most schools gain funding, but due to the number of pupils from other LA's there will be a saving to the current budget.

4. Special Schools

- 4.1 Like Resource Units, Special schools are to be funded at £10,000 per agreed number of places, with top up funding agreed for each individual child based on their assessed needs.
- 4.2 The top up payment is made by the commissioner of the place and follows the child in real time.
- 4.3 The LA has worked closely with the 2 special schools over the last 2 years to develop a banding system which reflects the actual cost of specific needs of individual pupils. This is detailed in Appendix D.
- 4.4 Implementation of the banding system will result in a significant increase in cost, but it puts in place a funding system whereby the schools will be able to take children with greater needs, avoiding expensive placements out of county.
- 4.5 As a large proportion of the children are from other local authorities, some of the increase in cost will fall on these LA's and discussions have already taken place with Reading in respect of their placements in Brookfields school – in many cases Reading are already paying some additional costs through recoupment. The net effect on the budget is an increase of approximately £1m.

5. Pupil Referral Units

- 5.1 PRU's are also to be funded per agreed number of places, but at £8,000 per place, with top up funding agreed for each individual child based on their assessed needs.
- 5.2 The 2 PRU's have worked on a banding system which reflects the actual cost of provision for different types of needs. This is detailed in Appendix E.

5.3 The commissioner of the place for permanent exclusions is the LA placing the child. However for fixed term exclusions, early intervention, and off site direction the commissioner is the school.

5.4 Secondary schools currently pay a daily rate for fixed term exclusions, and the proposed rate is not that different to the current rate. However, further work is required on finalising the top up rates and determining the funding flows, i.e. who is responsible for the payments, particularly where a child has a statement for SEN.

6. Next Steps

6.1 In order to implement the above proposals, the estimated funding needs to be agreed to be met from the High Needs budget, and the draft position is summarised as follows:

	Current Budget less Recoupment £'000	Draft Budget (WBC only) £'000	Difference £'000
Mainstream Top Ups	598 – 47 = 551	681	130
Additional Mainstream Funding	0	44	44
Resource Units	2,179 – 150 = 2,029	1,918	-111
Special Schools	6,334 – 1,464 = 4,870	5,951	1,081
Pupil Referral Units	1,524 + 9 = 1,533	2,015	482
Contingency	250	250	0
TOTAL	9,233	10,859	1,626

6.2 The High Needs DSG funding block has not yet been confirmed, but will be in the region of £16.5m. Taking the above draft costs into account, an estimate of the cost of pupils in other LA schools, and the other centrally retained funding requirements from the high needs block, there is enough funding available, though the figures are draft at this stage.

6.3 A number of savings have been made in the centrally retained high needs block, including £1m on out of county SEN placements, which largely offsets the rise in costs in special schools as they admit more complex pupils.

6.4 The final proposals will be brought back to the next meeting of the Schools' Forum. Once approved, schools will be informed of the new arrangements, and the funding bands will be posted onto the School Finance Web site.

Appendices

Appendix A – Mainstream Schools – Top Up Rates/Bandings

Appendix B – Proposed Additional Funding for Schools with Disproportional Large Numbers of High Needs Pupils

Appendix C – Resource Units – Top Up Rates/Bandings

Appendix D – Special Schools – Top up Rates/Bandings

Appendix E – Pupil Referral Units – Top Up Rates/Bandings

Revised Bandings & Top Ups for High Needs Pupils in Mainstream Schools - from 2013/14							
New Banding	Hours of TA 1 to 1 Support per week	Rate £ (based on B11)	Top Up £	Previous Banding	Previous Hours	Previous Rate £	Increase in Rate £
(Bands A to F for Early Years Only)							
A	2.5	970					
B	5.0	1,930					
C	7.5	2,900		2T	7.6	2,711	189
D	10.0	3,860		1X	8.9	3,175	685
E	12.5	4,830		2U	11.3	4,032	798
E	12.5	4,830		3T	12.1	4,316	514
E	12.5	4,830		2V/2W	12.9	4,601	229
F	15.0	5,790		3U	15.9	5,684	106
(Bands G to M for All Pupils - First £6,000 included in notional SEN budget allocation for pupils Aged 4 - 16)							
G	17.5	6,760	760	2X	16.5	5,886	874
G	17.5	6,760	760	3V	17.5	6,236	524
G	17.5	6,760	760	3W	17.5	6,236	524
H	20.0	7,720	1,720	1Y	19.8	7,063	657
H	20.0	7,720	1,720	3X	21.0	7,491	229
I	22.5	8,730	2,730	4T	22.7	8,098	632
J	25.0	9,770	3,770	4U	26.4	9,418	352
K	27.5	10,820	4,820	2Y	27.4	9,774	1,046
K	27.5	10,820	4,820	4V	28.0	9,989	831
K	27.5	10,820	4,820	4W	28.0	9,989	831
L	30.0	11,880	5,880	4X	31.6	11,273	607
M	32.5	12,920	6,920	3Y	32.0	11,415	1,505
				1Z	40.0	14,269	
				4Y	42.5	15,161	
				2Z	47.6	16,980	
				3Z	52.2	18,622	
				4Z	62.7	22,367	
				5Z	83.4	29,752	
Needs above Band M will be considered on a case by case basis							

Proposed Additional funding for Schools with Disproportional Large Numbers of High Needs Pupils

Cost Centre	SCHOOL	Current SEN Statement Cost	SEN Notional Budget in Formula Funding	New Top Up Funding	Total Funding	Funding Left to pay for Low Level AEN up to £6k	Funding Required to Make up Loss for statements	Funding Required to Make up minimum for Low Level AEN	pupil No's	pupil no's	Minimum Funding
91000	Aldermaston Church of England Primary School	29,920	29,002	5,920	34,922	5,002	0	0	169	<101	£1,000
91100	Basildon Church of England Primary School	40,190	19,277	16,190	35,467	-4,723	4,723	2,000	134	101 - 200	£2,000
91300	Beedon Church of England Controlled Primary School	18,640	8,237	6,640	14,877	-3,763	3,763	1,000	39	201 - 300	£3,000
91400	Beenham Primary School	0	13,775	0	13,775	13,775	0	0	87	301 - 400	£4,000
91200	Birch Copse Primary School	46,130	56,069	13,130	69,199	23,069	0	0	412	401 - 500	£5,000
91500	Bradfield Church of England Primary School	7,720	37,315	1,720	39,035	31,315	0	0	175	>500	£6,000
91600	Brightwalton Church of England Aided Primary School	0	11,140	0	11,140	11,140	0	0	100		
91700	Brimpton Church of England Primary School	8,730	3,214	2,730	5,944	-2,786	2,786	1,000	37		
91800	Bucklebury Church of England Primary School	18,540	19,528	6,540	26,068	7,528	0	0	125		
91900	Burghfield St. Mary's Church of England Primary School	22,200	20,201	4,200	24,401	2,201	0	0	172		
92000	Calcot Infant School & Nursery	0	58,237	0	58,237	58,237	0	0	208		
92100	Calcot Junior School	16,450	57,414	4,450	61,864	45,414	0	0	216		
95600	Chaddleworth St. Andrew's C of E Primary School	0	7,946	0	7,946	7,946	0	0	31		
92400	Chieveley Primary School	7,720	20,760	1,720	22,480	14,760	0	0	179		
95900	Cold Ash St. Mark's Church of England Primary School	15,440	23,167	3,440	26,607	11,167	0	0	189		
92200	Compton Church of England Primary School	17,490	21,408	5,490	26,898	9,408	0	0	130		
92300	Curridge Primary School	0	8,728	0	8,728	8,728	0	0	106		
92500	Downsway Primary School	36,680	31,173	6,680	37,853	1,173	0	1,827	209		
92800	Enborne Church of England Primary School	0	11,672	0	11,672	11,672	0	0	60		
92900	Englefield Church of England Primary School	0	14,104	0	14,104	14,104	0	0	107		
93000	Falkland Primary School	22,200	46,816	4,200	51,016	28,816	0	0	427		
93100	Fir Tree Primary School & Nursery	13,520	56,033	1,520	57,553	44,033	0	0	147		
93200	Francis Baily Primary School	62,380	94,492	20,380	114,872	52,492	0	0	522		
93400	Garland Junior School	28,960	55,113	4,960	60,073	31,113	0	0	198		
93500	Hampstead Norreys Church of England Primary School	6,760	13,308	760	14,068	7,308	0	0	104		
93600	Hermitage Primary School	24,250	31,396	6,250	37,646	13,396	0	0	198		
93700	Hungerford Primary School	54,500	87,714	18,500	106,214	51,714	0	0	402		
92700	The Ilsleys' Primary School	7,720	12,979	1,720	14,699	6,979	0	0	62		
93800	Inkpen Primary School	0	8,890	0	8,890	8,890	0	0	64		
93900	John Rankin Infant & Nursery School	7,720	33,871	1,720	35,591	27,871	0	0	201		
94000	John Rankin Junior School	18,540	39,834	6,540	46,374	27,834	0	0	225		
94100	Kennet Valley Primary School	22,200	41,999	4,200	46,199	23,999	0	0	199		
94200	Kintbury St. Mary's Church of England Primary School	57,360	28,545	15,360	43,905	-13,455	13,455	2,000	164		
94300	Lambourn Church of England Primary School	26,310	36,179	8,310	44,489	18,179	0	0	169		
94400	Long Lane Primary School	9,770	40,214	3,770	43,984	34,214	0	0	274		
95800	Mortimer St. Johns Church of England Infant School	33,020	32,153	9,020	41,173	8,153	0	0	182		
97500	Mortimer St. Mary's Church of England Junior School	37,690	32,375	7,690	40,065	2,375	0	625	230		
94500	Mrs. Bland's Infant & Nursery School	7,720	44,140	1,720	45,860	38,140	0	0	181		
94600	Pangbourne Primary School	52,700	33,953	16,700	50,653	-2,047	2,047	2,000	196		
94700	Parsons Down Infant School	43,710	49,493	13,710	63,203	19,493	0	0	256		
94800	Parsons Down Junior School	31,410	52,208	13,410	65,618	34,208	0	0	254		
94900	Purley Church of England Infants School	9,770	7,620	3,770	11,390	1,620	0	0	58		
95000	Robert Sandilands Primary School & Nursery	22,200	49,853	4,200	54,053	31,853	0	0	212		
95100	Shaw-cum-Donnington Church of England Primary School	7,720	22,755	1,720	24,475	16,755	0	0	81		
95200	Shefford Church of England Primary School	0	4,786	0	4,786	4,786	0	0	22		
95300	Speenhamland Primary School	60,820	57,065	24,820	81,885	21,065	0	0	266		
95400	Springfield Primary School	31,460	42,155	13,460	55,615	24,155	0	0	286		

Cost Centre	SCHOOL	Current SEN Statement Cost	SEN Notional Budget in Formula Funding	New Top Up Funding	Total Funding	Funding Left to pay for Low Level AEN up to £6k	Funding Required to Make up Loss for statements	Funding Required to Make up minimum for Low Level AEN	pupil No's	pupil no's	Minimum Funding
95500	Spurcroft Primary School	7,720	62,146	1,720	63,866	56,146	0	0	348		
95700	St. Finian's Catholic Primary School	27,400	32,471	9,400	41,871	14,471	0	0	190		
97700	St. John the Evangelist Infant & Nursery School	0	33,142	0	33,142	33,142	0	0	180		
97800	St. Joseph's Catholic Primary School	15,440	35,917	3,440	39,357	23,917	0	0	197		
96200	St. Nicolas Church of England Junior School	43,280	52,980	19,280	72,260	28,980	0	0	256		
96100	St. Pauls Catholic Primary School	7,720	44,754	1,720	46,474	38,754	0	0	327		
96300	Stockcross Church of England Primary School	0	11,627	0	11,627	11,627	0	0	107		
96400	Streatley Church of England VC Primary School	0	13,603	0	13,603	13,603	0	0	94		
96500	Sulhamstead and Ufton Nerve C of E VA Primary School	22,200	14,915	4,200	19,115	-3,085	3,085	2,000	109		
99700	Thatcham Park Church of England Primary School	37,640	92,093	7,640	99,733	62,093	0	0	353		
96600	Theale Church of England Primary School	14,480	38,437	2,480	40,917	26,437	0	0	218		
96700	Welford and Wickham Church of England Primary School	0	11,629	0	11,629	11,629	0	0	86		
96800	Westwood Farm Infant School	11,880	23,543	5,880	29,423	17,543	0	0	176		
96900	Westwood Farm Junior School	7,720	37,271	1,720	38,991	31,271	0	0	225		
97000	Whitelands Park Primary School	30,410	92,431	12,410	104,841	74,431	0	0	326		
98700	The Willows Primary School	21,240	82,567	3,240	85,807	64,567	0	0	193		
99400	The Winchcombe School	34,990	81,813	10,990	92,803	57,813	0	0	269		
97300	Woolhampton Church of England Primary School	0	13,564	0	13,564	13,564	0	0	89		
97400	Yattendon Church of England Primary School	6,760	5,641	760	6,401	-359	359	1,000	78		
98900	Denefield School	42,400	259,459	9,400	268,859	226,459	0	0	842		
98800	The Downs School	71,620	163,473	17,620	181,093	109,473	0	0	896		
99000	John O'Gaunt Community Technology College	134,240	109,500	38,240	147,740	13,500	0	0	378		
99100	Kennet School	259,190	414,404	67,190	481,594	222,404	0	0	1,396		
99200	Little Heath School	140,370	215,460	32,370	247,830	107,460	0	0	1,300		
99300	Park House School	153,410	235,542	39,410	274,952	121,542	0	0	837		
99800	St. Bartholomew's School	94,960	182,121	22,960	205,081	110,121	0	0	1,250		
99500	Theale Green Community School	189,810	220,529	57,810	278,339	88,529	0	0	1,034		
99900	Trinity School & Performing Arts College	71,750	336,636	17,750	354,386	282,636	0	0	694		
99600	The Willink School	146,180	183,340	32,180	215,520	69,340	0	0	836		
PRIMARY TOTAL		1,275,140 0	2,308,850	372,140	2,680,990	1,405,850	30,218	13,452			
SECONDARY TOTAL		1,303,930 0	2,320,465	334,930	2,655,395	1,351,465	0	0			
TOTAL ALL SCHOOLS		2,579,070 0	4,629,316	707,070	5,336,386	2,757,316	30,218	13,452			

New Resource Unit Funding Bands from April 2013

Category	Description	Teaching Ratio	NNEB Ratio	TA Ratio	Teaching Cost	NNEB Cost	TA Cost	Non Teaching AWPU	Total Cost	PLACE & TOP UP FUNDING	No. of Places	TOTAL FUNDING	Note - the staffing numbers per Unit the funding will deliver
PD(P)	Physically Disabled - Primary												
	Speenhamland									£10,000.00	11	£110,000.00	1.1 teacher, 1.1 NNEB, 7.15 TA
PD1		1:10	1:10	1:2.5	£5,643.05	£2,017.92	£5,279.00	£1,301.00	£14,240.97	£4,240.97	3	£12,722.91	TA = 0.4 x 3 = 1.2
PD2		1:10	1:10	1:2.5 + 25% 1:1	£5,643.05	£2,017.92	£8,578.38	£1,301.00	£17,540.35	£7,540.35	5	£37,701.73	TA = 0.65 x 5 = 3.25
PD3		1:10	1:10	1:2.5 + 50% 1:1	£5,643.05	£2,017.92	£11,877.75	£1,301.00	£20,839.72	£10,839.72	3	£32,519.17	TA = 0.9 x 3 = 2.7
	Total New Funding											£192,943.82	
	2012/13 Budget + AWPU + in year adjustments											£200,266.00	
	Change in Funding											-£7,322.18	
PD(S)	Physically Disabled - Secondary												
	Kennet									£10,000.00	27	£270,000.00	2.7 teachers, 2.7 NNEB, 12.4 TA
PD1		1:10	1:10	1:2.5	£5,653.98	£2,017.92	£5,279.00	£1,895.00	£14,845.90	£4,845.90	19	£92,072.07	TA = 0.4 x 19 = 7.6
PD2		1:10	1:10	1:2.5 + 25% 1:1	£5,653.98	£2,017.92	£8,578.38	£1,895.00	£18,145.27	£8,145.27	6	£48,871.64	TA = 0.65 x 6 = 3.9
PD3		1:10	1:10	1:2.5 + 50% 1:1	£5,653.98	£2,017.92	£11,877.75	£1,895.00	£21,444.65	£11,444.65	1	£11,444.65	TA = 0.9 x 1 = 0.9
	Total New Funding											£422,388.36	
	2012/13 Budget + AWPU + in year adjustments											£409,348.00	
	Change in Funding											£13,040.36	
S&L	Speech and Language												
	Winchcombe									£10,000.00	15	£150,000.00	1.5 teachers, 1 NNEB, 3.2 TA
S&L1		1:10	1:15	1:10	£5,643.05	£1,345.28	£1,319.75	£1,301.00	£9,609.08	£0.00	8	£0.00	TA = 0.1 x 8 = 0.8
S&L2		1:10	1:15	1:10 + 25% 1:1	£5,643.05	£1,345.28	£4,619.13	£1,301.00	£12,908.46	£2,908.46	6	£17,450.75	TA = 0.3 x 6 = 1.8
S&L3		1:10	1:15	1:10 + 50% 1:1	£5,643.05	£1,345.28	£7,918.50	£1,301.00	£16,207.83	£6,207.83	1	£6,207.83	TA = 0.6 x 1 = 0.6
	Total New Funding											£173,658.58	
	2012/13 Budget + AWPU + in year adjustments											£154,768.00	
	Change in Funding											£18,890.58	
ASD(P)	Autistic Spectrum Disorder (Primary)												
	Theale Primary									£10,000.00	12	£120,000.00	2 teachers, 2 NNEB, 5.25 TA
ASDP1		1:5	1:5	1:2	£11,166.97	£4,035.83	£6,598.75	£1,301.00	£23,102.56	£13,102.56	6	£78,615.34	TA = 0.5 x 6 = 3
ASDP2		1:5	1:5	1:2 + 25% 1:1	£11,166.97	£4,035.83	£9,898.13	£1,301.00	£26,401.93	£16,401.93	3	£49,205.79	TA = 0.75 x 3 = 2.25
ASDP3		1:5	1:5	1:2 + 50% 1:1	£11,166.97	£4,035.83	£13,197.50	£1,301.00	£29,701.31	£19,701.31	0	£0.00	TA = 1.0 x 0 = 0
	Total New Funding											£247,821.13	
	2012/13 Budget + AWPU + in year adjustments											£244,531.00	
	Change in Funding											£3,290.13	

Category	Description	Teaching Ratio	NNEB Ratio	TA Ratio	Teaching Cost	NNEB Cost	TA Cost	Non Teaching AWPU	Total Cost	PLACE & TOP UP FUNDING	No. of Places	TOTAL FUNDING	Note - the staffing numbers per Unit the funding will deliver
ASD(S)	Autistic Spectrum Disorder (Secondary)												
	Theale Green									£10,000.00	13	£130,000.00	1.7 teachers, 2.6 NNEB, 7.64 TA
ASDS 1		1:7.5	1:5	1:1.875	£7,665.06	£4,035.83	£7,038.67	£1,895.00	£20,634.56	£10,634.56	10	£106,345.63	TA = 0.53 x 10 = 5.3
ASDS 2		1:7.5	1:5	1:1.875 +25% 1:1	£7,665.06	£4,035.83	£10,338.04	£1,895.00	£23,933.94	£13,933.94	3	£41,801.82	TA = 0.78 x 3 = 2.3
ASDS 3		1:7.5	1:5	1:1.875 + 50% 1:1	£7,665.06	£4,035.83	£13,637.42	£1,895.00	£27,233.31	£17,233.31	0	£0.00	TA = 1.03 x 0 = 0
	Total New Funding											£278,147.45	
	2012/13 Budget + AWPU + in year adjustments											£268,585.00	
	Change in Funding											£9,562.45	
HI(I)	Hearing Impaired - Infant												
	WWF Infants									£10,000.00	5	£50,000.00	1 teacher, 2.85 NNEB
HI 1		1:5	1:2.5	0	£11,286.11	£8,071.67	£0.00	£1,301.00	£20,658.78	£10,658.78	1	£10,658.78	NNEB = 0.4 x 1 = 0.4
HI 2		1:5	1:2.5 + 25% 1:1	0	£11,286.11	£13,116.46	£0.00	£1,301.00	£25,703.57	£15,703.57	1	£15,703.57	NNEB = 0.65 x 1 = 0.65
HI 3		1:5	1:2.5 + 50% 1:1	0	£11,286.11	£18,161.25	£0.00	£1,301.00	£30,748.36	£20,748.36	2	£41,496.72	NNEB = 0.9 x 2 = 1.8
	Total New Funding											£117,859.07	
	2012/13 Budget + AWPU + in year adjustments											£95,501.00	
	Change in Funding											£22,358.07	
HI(J)	Hearing Impaired - Junior												
	WWF Junior									£10,000.00	10	£100,000.00	2 teachers, 3.5 NNEB
HI 1		1:5	1:2.5	0	£11,286.11	£8,071.67	£0.00	£1,301.00	£20,658.78	£10,658.78	1	£10,658.78	NNEB = 0.4 x 1 = 0.4
HI 2		1:5	1:2.5 + 25% 1:1	0	£11,286.11	£13,116.46	£0.00	£1,301.00	£25,703.57	£15,703.57	2	£31,407.14	NNEB = 0.65 x 2 = 1.3
HI 3		1:5	1:2.5 + 50% 1:1	0	£11,286.11	£18,161.25	£0.00	£1,301.00	£30,748.36	£20,748.36	2	£41,496.72	NNEB = 0.9 x 2 = 1.8
	Total New Funding											£183,562.64	
	2012/13 Budget + AWPU + in year adjustments											£156,890.00	
	Change in Funding											£26,672.64	
HI(S)	Hearing Impaired - Secondary												
	Kennet									£10,000.00	10	£100,000.00	2 teachers, 3.8 NNEB
HI 1		1:5	1:2.5	0	£11,497.59	£8,071.67	£0.00	£1,895.00	£21,464.26	£11,464.26	4	£45,857.05	NNEB = 0.4 x 4 = 1.6
HI 2		1:5	1:2.5 + 25% 1:1	0	£11,497.59	£13,116.46	£0.00	£1,895.00	£26,509.05	£16,509.05	2	£33,018.11	NNEB = 0.65 x 2 = 1.3
HI 3		1:5	1:2.5 + 50% 1:1	0	£11,497.59	£18,161.25	£0.00	£1,895.00	£31,553.85	£21,553.85	1	£21,553.85	NNEB = 0.9 x 1 = 0.9
	Total New Funding											£200,429.00	
	2012/13 Budget + AWPU + in year adjustments											£198,108.00	
	Change in Funding											£2,321.00	
SpLit	Specific Literacy Difficulties												
	Trinity									£10,000.00	30	£300,000.00	3 teachers, 5 TA
SpLit 1		1:10	0	1:6	£5,653.98	£0.00	£2,199.58	£1,895.00	£9,748.56	£0.00	28	£0.00	TA = 0.167
SpLit 2		1:10	0	1:6 25% 1:1	£5,653.98	£0.00	£5,498.96	£1,895.00	£13,047.94	£3,047.94	0	£0.00	TA = 0.417
SpLit 3		1:10	0	1:6 50% 1:1	£5,653.98	£0.00	£8,798.33	£1,895.00	£16,347.31	£6,347.31	0	£0.00	TA = 0.667
	Total New Funding											£300,000.00	
	2012/13 Budget + AWPU + in year adjustments											£229,563.00	
	Change in Funding											£70,437.00	

New Special School Funding Bands from April 2013

Category	Description	Teaching Ratio	TA Ratio	Teaching Cost	TA Cost	Other Costs	Total Cost	TOP UP	Notes
MLD	Moderate Learning Difficulty (MLD)								
MLD 1	MLD primary need. No significant additional difficulties other than those which would normally be associated with MLD.	1:10	1:10	£4,564.68	£1,634.51	£6,000.00	£12,199.20	£2,199.20	TA Band D
MLD 2	MLD primary need. Some additional difficulties relating either to behaviour, communication, physical / sensory or medical needs. Requiring basic MLD staffing plus 25% 1 to 1 TA.	1:10	1:10 + 25% 1:1	£4,564.68	£4,933.89	£6,000.00	£15,498.57	£5,498.57	TA Band D, 1:1 TA Band B
MLD 3	MLD primary need. Significant additional difficulties relating either to behaviour, communication, physical / sensory or medical needs. Requiring basic MLD staffing plus 50% 1 to 1 TA	1:10	1:10 + 50% 1:1	£4,564.68	£8,233.26	£6,000.00	£18,797.95	£8,797.95	TA Band D, 1:1 TA Band B
MLD 4	MLD primary need. Significant additional difficulties relating either to behaviour, communication, physical / sensory or medical needs. Requiring basic MLD staffing plus 75% 1 to 1 TA	1:10	1:10 + 75% 1:1	£4,564.68	£11,532.64	£6,000.00	£22,097.32	£12,097.32	TA Band D, 1:1 TA Band B
MLD 5	MLD primary need. Extreme level of additional need which may be equal to the primary need in degree of difficulty and the way it affects learning - behaviour, communication, physical/sensory or medical. Requiring basic MLD staffing plus 100% 1 to 1 TA	1:10	1:10 + 100% 1:1	£4,564.68	£16,240.24	£6,000.00	£26,804.92	£16,804.92	TA Band D, 1:1 TA Band C
MLD 6	MLD primary need. Extreme level of additional need which may be equal to the primary needs in degree of difficulty and the way it affects learning - behaviour, communication, physical / sensory or medical . Requiring basic MLD staffing plus 100% 1 to 1 support provided by a qualified enabler.	1:10	1:10 + 100% 1:1	£4,564.68	£17,979.64	£6,000.00	£28,544.33	£18,544.33	TA Band D, 1:1 TA Band D/Qualified enabler
SLD	Severe Learning Difficulty (SLD)								
SLD 1	SLD primary need. No significant additional difficulties other than those which would normally be associated with SLD.	1:7	1:7	£6,520.98	£2,335.02	£6,000.00	£14,856.00	£4,856.00	TA Band D
SLD 2	SLD primary need. Some additional difficulties relating either to behaviour, communication, physical / sensory or medical needs. Requiring basic SLD staffing plus 25% 1 to 1 TA .	1:7	1:7 + 25% 1:1	£6,520.98	£5,634.39	£6,000.00	£18,155.37	£8,155.37	TA Band D, 1:1 TA Band B
SLD 3	SLD primary need. Significant additional difficulties relating either to behaviour, communication, physical / sensory or medical needs. Requiring basic SLD staffing plus 50% 1 to 1 TA .	1:7	1:7 + 50% 1:1	£6,520.98	£8,933.77	£6,000.00	£21,454.75	£11,454.75	TA Band D, 1:1 TA Band B
SLD 4	SLD primary need. Significant additional difficulties relating either to behaviour, communication, physical / sensory or medical needs. Requiring basic SLD staffing plus 75% 1 to 1 TA .	1:7	1:7 + 75% 1:1	£6,520.98	£12,233.14	£6,000.00	£24,754.12	£14,754.12	TA Band D, 1:1 TA Band B
SLD 5	SLD primary need. Extreme level of additional need which may be equal to the primary need in degree of difficulty and the way it affects learning - behaviour, communication, physical / sensory or medical . Requiring basic SLD staffing plus 100% 1:1 TA.	1:7	1:7 + 100% 1:1	£6,520.98	£16,940.74	£6,000.00	£29,461.72	£19,461.72	TA Band D, 1:1 TA Band C
SLD 6	SLD primary need. Extreme level of additional need which may be equal to the primary need in degree of difficulty and the way it affects learning - behaviour, communication, physical / sensory or medical . Requiring basic SLD staffing plus 100% 1:1 support provided by a qualified enabler.	1:7	1:7 + 100% 1:1	£6,520.98	£18,680.15	£6,000.00	£31,201.12	£21,201.12	TA Band D, 1:1 TA Band D/Qualified enabler

Category	Description	Teaching Ratio	TA Ratio	Teaching Cost	TA Cost	Other Costs	Total Cost	TOP UP	Notes
PMLD	Profound and Multiple Learning Difficulty (PMLD)								
PMLD 1	Working at 'P' levels 1-4. Highly complex needs requiring 1:1 input for significant periods of time in order to access the curriculum	1:5	3:5	£9,129.37	£9,807.08	£6,000.00	£24,936.45	£14,936.45	TA Band D
PMLD 2	Working at "P" LEVELS 1-4. Highly complex needs requiring 1:1 input at all times in order to access the curriculum.	1:5	1:1	£9,129.37	£16,345.13	£6,000.00	£31,474.50	£21,474.50	TA Band D
ASD	Autistic Spectrum Disorder (ASD)								
ASD 1	ASD primary need with moderate / severe learning difficulties and behaviours normally associated with ASD.	1:6	1:6	£7,607.81	£2,724.19	£6,000.00	£16,332.00	£6,332.00	TA Band D
ASD 2	ASD primary need with moderate / severe learning difficulties. Additional needs related to challenging behaviour and/or sensory, physical or medical difficulties. Requiring basic ASD staffing plus 50% 1:1 TA.	1:6	1:6 + 50% 1:1	£7,607.81	£10,027.05	£6,000.00	£23,634.86	£13,634.86	TA Band D, 1:1 TA Band C
ASD 3	ASD primary need with moderate / severe learning difficulties. Additional needs related to challenging behaviour and/or sensory, physical or medical difficulties. Requiring basic ASD staffing plus 75% 1:1 TA.	1:6	1:6 + 75% 1:1	£7,607.81	£13,678.48	£6,000.00	£27,286.29	£17,286.29	TA Band D, 1:1 TA Band C
ASD 4	ASD primary need with moderate /severe learning difficulties. Significant additional needs generally related to challenging behaviour and/or sensory, physical or medical difficulties requiring basic ASD staffing plus 100% TA	1:6	1:6 + 100% 1:1	£7,607.81	£19,069.32	£6,000.00	£32,677.12	£22,677.12	TA Band D, 1:1 TA Band D
ASD 5	ASD primary need with moderate / severe learning difficulties and/or PMLD. May have pre verbal communication. Exceptional additional needs related to challenging behaviour and/or sensory, physical or medical difficulties. Requiring teaching ratios of 1: 3 plus 1:1 TA support.	1:3	1:1	£15,215.62	£16,345.13	£6,000.00	£37,560.74	£27,560.74	TA Band D

Pupil Referral Unit Funding Bands from April 2013										
Band	Description	Teaching Ratio	TA Ratio	Teaching Cost	TA Cost	Other Costs	Total Cost	Top Up Funding (Total Cost less £8,000)	Top Up Funding - Weekly Rate	Top Up Funding - Daily Rate
	Each PRU pupil is placed in one of the following bandings. The banding is determined by the level of support the pupil needs, in respect of the staff/pupil ratio for teachers and TA support								(38 weeks)	(191 days)
Band 1	Basic Package - full time, on site, access to standard curriculum	1:6	1:6	£8,099.34	£2,724.19	£11,549.18	£22,372.70	£14,372.70	£378.23	£75.25
Band 2	Complex 1	1:6	1:6 + 25% 1:1	£8,099.34	£6,810.47	£11,549.18	£26,458.99	£18,458.99	£485.76	£96.64
Band 3	Complex 2	1:6	1:6 + 50% 1:1	£8,099.34	£10,896.75	£11,549.18	£30,545.27	£22,545.27	£593.30	£118.04
Band 4	Complex 3	1:6	1:6 + 100% 1:1	£8,099.34	£19,069.32	£11,549.18	£38,717.83	£30,717.83	£808.36	£160.83

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West Berkshire Schools' Forum	
Title of Report:	DSG Monitoring 2012/13, Month 9
Date of Meeting:	21st January 2012
Contact Officer(s)	Claire White, Ian Pearson
For Discussion	

1. Background

1.1 The main source of funding for schools is the Dedicated Schools Grant (DSG). It is a ring fenced specific grant and can only be used on school/pupil activity.

1.2 The grant is calculated by multiplying a guaranteed unit of funding per pupil (set by the DfE) by the actual pupil numbers from the January census count (so January 2012 census for 2012/13 budget).

1.3 The use of the grant is split between:

- a. The Individual School's Budget – the ISB or delegated budget.
- b. The Centrally Retained School's Budget – the non delegated budget

1.4 The Local Authority uses a local formula to distribute the ISB to schools.

1.5 Centrally Retained Overspends, unless funded from outside the DSG, are carried forward and top sliced from the following year's DSG allocation. Underspends must be carried forward to support the school's budget in future years.

1.6 The Authority and Schools' Forum are responsible for ensuring that the DSG is deployed correctly, and monitoring of spend against the DSG needs to take place regularly to enable decision making on overspends/underspends and to inform future year budget requirements.

2. Monitoring Position as at Month 9 (31 December 2012)

2.1 The following is the position as at the end of December 2012. A further analysis per cost centre is shown in Appendix A:

	Total Budget £m	Forecast Variance £m	Forecast Outturn £m
ISB Delegated Budget	84.010	0	84.010
Centrally Retained Budget	9.212	-1.456	7.756
Support Service Recharges	0.721	0	0.721
Total Expenditure	93.943	-1.456	92.487
DSG Grant	-93.943	0	-93.943
Net Budget	0	-1.456	-1.456

2.2 All delegated (ISB) money is transferred to schools, and any overspends or underspends on individual schools budgets are carried forward on the schools budget.

2.3 The Centrally Retained Budget is currently showing a significant net underspend of £1.5m. This is largely due to less than anticipated SEN placements in Out of Authority non maintained schools, and additional recoupment income from other Local Authorities for their SEN children placed in our schools.

2.4 Although under the new funding regulations from April 2013 carry forward of underspent centrally retained DSG will still happen, there will be less flexibility about how this funding can be allocated – for example, no changes can be made to school formula allocations in-year. However, further allocations to schools could however still be made in the current financial year.

3. School Contingency Budget

3.1 Within the Centrally Retained Schools Budget, three contingency budgets are held for schools. The position on these budgets as at 31st December 2012 is as follows:

	Total Budget £	Amount Delegated £	Commitments £	Balance Available £
School Contingency (90235)				
Newly Qualified Teachers	275,000	173,350		
Infant Class Size	50,000	89,732		
Resource Unit	50,000	-7,792		
Link Group Funding	7,000	0		
Headroom to be Allocated	654,640	311,021	200,000	
Rates Adjustments	0	53,192		
LACSEG Contingency	58,020	0		
Other (Trinity split site)	0	3,205		
Excess Balance Clawback	0	-7,496		
Total 90235	1,094,660	615,212	200,000	279,448
SEN Contingency (90237)				
New Statements	200,000	169,894		
Recouped from other LA's				
Other				
Total 90237	200,000	169,894	0	30,106
Schools in Financial Difficulty (90230)				
John O'Gaunt	295,000	295,000		
The Willows	113,830	113,832		
Other (redundancy payments)				
Total 90230	408,830	408,832	0	0
Total – All Contingency Budgets	1,703,490	1,193,938	200,000	309,554

Appendices

Appendix A – DSG 2012/13 Budget Monitoring Report

APPENDIX A

Dedicated School's Grant (DSG) 2012/13 BUDGET MONITORING								
MONTH 9 - DECEMBER 2012								
Budget manager	Cost Centre	Description	Budget for Year	Budget to 31/12/12	Actual to 31/12/12	Variance to 31/12/12	Forecast Variance for Yr	Comments
Ian Pearson	90010	Nursery Schools	730,020.00	545,324.94	640,382.00	95,057.06	0.00	
Ian Pearson	90020	Primary Schools	47,011,340.00	47,011,340.00	46,864,416.08	-146,923.92	0.00	
Ian Pearson	90025	Secondary Schools	23,458,870.00	25,066,786.20	23,882,097.08	-1,184,689.12	0.00	
Ian Pearson	90026	Academy Schools	1,267,360.00	841,527.04	852,826.58	11,299.54	0.00	
Ian Pearson	90054	Special Schools	6,037,230.00	6,103,733.58	6,111,400.74	7,667.16	0.00	
Maria Shepherd	90036	Early Years Funding for PVI	4,481,260.00	2,975,556.64	3,408,964.53	433,407.89	0.00	on budget
Ian Pearson	90037	Early Yrs Funding Maintained Sector	1,023,650.00	1,023,650.00	773,953.00	-249,697.00	0.00	Online
Ian Pearson	90038	Pupil Premium	0.00	375,396.34	50,284.04	-325,112.30	0.00	Forecasts set to Budget initially
		DELEGATED ISB TOTAL	84,009,730.00	83,943,314.74	82,584,324.05	-1,358,990.69	0.00	
Margaret Goldie	90006	CRB Checks	40,000.00	29,880.00	32,206.00	2,326.00	0.00	Online
Janet Scott	90017	Early Years Support Team	63,440.00	47,389.68	44,011.80	-3,377.88	0.00	Forecasts set to Budget initially
Ian Pearson	90019	DSG Servicing of School Forums	0.00	0.00	211.30	211.30	211.00	small overspend
Adrian Slaughter	90028	Schools Carbon Reduction Commitment	105,000.00	69,720.00	105,000.00	35,280.00	0.00	No further expenditure anticipated so on line for year end
Ian Pearson	90029	DSG Revenue Cont to Capital	1,101,680.00	731,515.52	0.00	-731,515.52	0.00	Forecasts set to Budget initially
Mark Lewis	90041	R & M Non Delegated	27,050.00	17,961.20	23,585.78	5,624.58	10,481.00	Spend in line with previous return estimate. Still anticipating outturn to be as reported last month.
Ian Pearson	90039	Diploma Grant	12,170.00	9,090.99	0.00	-9,090.99	-4,000.00	less than expected activity
Ian Pearson	90045	Service Tenancy - Primary	-30,460.00	-22,991.83	-23,889.21	-897.38	5,000.00	Not all rent income likely to be realised
Ian Pearson	90050	Service Tenancy - Secondary	-9,050.00	-6,849.99	-8,156.89	-1,306.90	0.00	Forecasts set to Budget initially
Ian Pearson	90112	Special Costs Primary	24,680.00	18,435.96	0.00	-18,435.96	0.00	Forecasts set to Budget initially
Ian Pearson	90117	Special Costs Secondary	18,640.00	13,924.08	-11,106.00	-25,030.08	0.00	Forecasts set to Budget initially
Jane Seymour	90200	Castle/Vict Co-Locatio	6,660.00	4,630.57	5,338.35	707.78	0.00	On target
Ian Pearson	90230	Schools in Financial Difficulty	408,830.00	305,396.01	408,832.00	103,435.99	0.00	Forecasts set to Budget initially
Jane Seymour	90231	Non Delegated Contingency	160,680.00	130,251.84	164,709.37	34,457.53	23,820.00	Overspend due to high cost SEN pupils in PRU's
Ian Pearson	90235	School Delegated Contingency	1,094,660.00	817,711.02	615,212.26	-202,498.76	0.00	Forecasts set to Budget initially
Ian Pearson	90236	Managed Moves/Exclusions Contingency	0.00	0.00	-54,618.00	-54,618.00	0.00	Online
Jane Seymour	90237	Special Needs Delegated Contingency	200,000.00	149,400.00	169,893.58	20,493.58	5,500.00	Overspend due to maintaining high cost statemented pupils in local schools to avoid out county placements
Rhian Ireland	90238	Sen Pre School Childm	33,220.00	22,058.91	24,073.23	2,014.32	15,000.00	£15,000 over budget based on information known about likely commitments to end financial year for assessed needs of children
Maxine Slade	90250	Traveller Education Service	0.00	0.00	20.60	20.60	0.00	This cost centre has not been used for a LONG time am surprised that a corporate re-charge for paper has been charged here. Will liaise with Sarah Webb re: removal of charge
Maxine Slade	90255	Virtual School Service	173,550.00	129,035.95	127,403.74	-1,632.21	0.00	Forecast to outturn to budget - service fully staffed, high levels of new EAL arrivals and Log an Incident application and training to be paid be end Dec
Rhian Ireland	90280	Spec Needs Spprt Team	236,160.00	176,047.15	168,385.83	-7,661.32	-8,000.00	£4000 pressure on car allowances and underspend of £12,000 on salaries - maternity leave, vacancies
Jane Seymour	90290	Sensory Impairment	227,420.00	170,436.00	110,727.90	-59,708.10	-2,020.00	Underspent
Cathy Burnham	90315	Home Tuition	207,910.00	151,192.80	133,676.60	-17,516.20	-13,000.00	forecast £13k underspend on supplies and services
Cathy Burnham	90320	Pupil Referral Units	1,455,830.00	1,455,830.00	468.60	-1,455,361.40	98,000.00	Reintegration Service on target, large overspend Alternative Curriculum - will reduce slightly in next 3 months.
Cathy Burnham	90341	Ed Psychology - DSG	95,250.00	71,151.75	60,783.66	-10,368.09	-14,000.00	underspend on recharge
Cathy Burnham	90349	Behaviour Support - DSG	140,020.00	103,969.95	111,088.64	7,118.69	14,800.00	pressure due to teacher post being moved in error. This will be corrected.
Ian Pearson	90510	Kennet Sports Centre	0.00	0.00	3,629.27	3,629.27	0.00	Forecasts set to Budget initially
Ian Pearson	90515	Willink Sports Centre	52,040.00	25,719.21	3,835.00	-21,884.21	0.00	Forecasts set to Budget initially
Anne Cooper	90565	Equipment For SEN Pupils	38,470.00	25,544.08	3,553.40	-21,990.68	0.00	Online
Anne Cooper	90575	Non LEA Special School (OoFA)	3,539,670.00	2,333,927.63	2,138,709.29	-195,218.34	-634,503.00	Underspend increased due to two tribunals being won and expenses not incurred
Jane Seymour	90577	SEN Commissioned Provision	448,790.00	297,996.56	357,022.70	59,026.14	-8,790.00	Underspend due to some costs being met from 2011/12 budget.
Anne Cooper	90605	Recoupment Special Schools	-1,470,000.00	-1,142,080.00	-1,243,921.35	-101,841.35	21,000.00	Forecast recoupment income for 2012/2013
Anne Cooper	90610	Hospital Tuition	31,010.00	20,590.64	24,833.24	4,242.60	13,000.00	Overspend, unpredictable budget
Anne Cooper	90615	Recoupment Resourced Units	-150,000.00	-115,370.00	242,202.24	357,572.24	-581,000.00	Forecast recoupment income for 2012/2013
Anne Cooper	90620	Recoupment Mainstream Schools	-50,000.00	-66,400.00	392,861.35	459,261.35	-362,000.00	Forecast recoupment income for 2012/2013
Anne Cooper	90623	Recoupment PRU's	8,890.00	5,902.96	0.00	-5,902.96	-2,500.00	Two children PRU costs to be invoice
Joseph Holmes	90711	Schools Finance	153,970.00	115,015.59	110,428.97	-4,586.62	-10,970.00	0.00
Jane Seymour	90722	Special Needs Assess - DSG	219,370.00	163,869.39	146,906.98	-16,962.41	0.00	on target
Ian Pearson	90736	Pupil & Student Services - DSG	60,160.00	44,939.52	41,140.90	-3,798.62	0.00	Online
Caroline Corcoran	90742	Place Planning, Transport and Finance DSG	149,380.00	111,328.73	125,004.57	13,675.84	36,020.00	Due to urgent work on statutory function to meet Council's priorities, additional resource has been required. Offset by maternity leave savings. Also due to changes in S251 regulations, budget of £12k
Caroline Corcoran	90743	Admissions	0.00	0.00	45.21	45.21	0.00	This budget is being closed
Rhian Ireland	90830	ASD Teachers	109,930.00	81,853.77	85,978.38	4,124.61	3,000.00	£1000 pressure on car allowances and £2000 pressure on salaries
Rhian Ireland	90829	Autism Development Wkr	0.00	0.00	200.00	200.00	0.00	Costs miscoded
Cathy Burnham	90903	Peer Monitoring Funding	7,000.00	5,229.00	3,100.00	-2,129.00	0.00	online
Maxine Slade	90917	Children in Public Care	50,420.00	36,667.74	29,169.89	-7,497.85	0.00	Forecast to outturn to budget - cost centre will be subject to fluctuations in line with school year.
Rhian Ireland	90957	G202A Early Interventi	48,040.00	34,033.32	15,450.05	-18,583.27	-14,500.00	Refers to post number (0.2re) 02999 vacancy periods 1-12
Cathy Burnham	90961	Vulnerable Children	98,510.00	65,410.64	22,907.54	-42,503.10	0.00	On target to meet budget. Agresso lags behind our agreed commitments but these will be posted by p10.
Rhian Ireland	90965	G202 Sen Inclusion Pro	73,420.00	45,293.93	15,784.20	-29,509.73	-38,000.00	Underspend for year on training and supplies/services
Avril Allenby	90994	Primary Strategy 1:1 Tuition	0.00	0.00	-9,000.00	-9,000.00	-9,000.00	Won't be used
		CENTRALLY RETAINED TOTAL	9,212,410.00	6,684,660.27	4,717,700.97	-1,966,959.30	-1,456,451.00	
		SUPPORT SERVICE RECHARGES	720,890.00	540,668.00	540,668.00	0.00	0.00	
		TOTAL DSG EXPENDITURE	93,943,030	91,168,643	87,842,693	-3,325,950	-1,456,451	
Ian Pearson	90030	DSG Grant Account	-93,943,030.00	-70,175,443.41	-72,548,629.12	-2,373,185.71	1,456,451.00	to balance to DSG
		NET DSG EXPENDITURE	0	20,993,200	15,294,064	-5,699,136	0	

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